CHARTWELL DIRECT

Investment ISA (stocks and shares) Dual Tax Year 2010/2011 & 2011/2012

c•funds

The disclosure documentation applicable to this transaction is: 0 2 1 0

This application form is used to subscribe to a stocks and shares ISA with Cofunds. By completing this application, you agree to subscribing to a 2010/2011 and/or 2011/2012 tax year stocks and shares ISA and each subsequent year until further notice. You are not obliged to invest in subsequent tax years unless you choose to do so.

The ISA allowance for all investors is £10,200 for the 2010/2011 tax year and £10,680 for the 2011/2012 tax year.

Please complete this Application Form using black ink and BLOCK CAPITALS and return to: Chartwell Direct, Kings Orchard, 1 Queen Street, Bristol BS2 0HQ

1 Adviser Details (For adviser use only)

Cofunds Intermediary Authorisation Code 3 7 9 4 3

Intermediary Client/Deal Ref. CM.CWD0111

Marketing Code

2 Personal Details (Please complete this section in full)

Existing Cofunds Client Reference

Did you receive advice from an adviser in relation to this investment?
If you do not answer this question we will assume you received advice.

☐ Advised ☑ Not Advised

Mr/Mrs/Ms/Miss/Other

Surname

Full First Name(s)

Email Address

Phone Number

Male ☐ Female ☐ Date of birth __ __ / __ __ / __ __ __ __ (D D M M Y Y Y Y)

National Insurance Number __ __ / __ __ / __ __ / __ __ / __

You should be able to find your NI number on a payslip, form P45 or P60, a letter from the HM Revenue & Customs, a letter from the DWP, or pension order book.

If you do NOT have a National Insurance Number, please tick here. ☐

Current Permanent Residential Address

Postcode

Time at this Address yrs mths

If at current address for less than 2 years, please supply previous address and time there

Postcode

Time at this Address yrs mths

If more than one previous address in the last 2 years, please provide full details including the time at each address on a separate sheet of paper and staple securely to this application form.

3 Funding your investment

I will be funding my investment by (tick all that apply):

☐ **Cheque** Amount: £ .

☐ **Cofunds Cash Account** Amount: £ .

☐ **Monthly Direct Debit** (please ensure you complete the 'Investment by Direct Debit for Monthly Savers' on page 2).

4 Nominated Bank Account

Complete this section if you have not provided us with your nominated bank account details. If you are an existing customer, only complete this section if you would like to change your nominated bank account details. Any change to your nominated bank account will not be applied to your regular monthly investments. You can only have one nominated bank account at any given time.

Name of Account Holder

Bank or Building Society Name and Address

Postcode

Branch Sort Code __ __ – __ __ – __ __

Bank/Building Society Account Number

Building Society Roll Number

5 Income

Complete this section if you have requested income units/shares ('INC'). The option you choose will be applied to all income units/shares on this application.
Note: If you are taking regular withdrawals from your cash account you may only select the 'Cofunds Cash Account' or 'Retain in the fund' options. If you do not tick one of these boxes we will select the 'Retain in the fund' option by default.

☐ **Consolidated Monthly Income**
Income generated from your investment funds will be consolidated into your cash account and paid to your nominated bank account on a monthly basis.

☐ **Cofunds Cash Account**
Income generated will be paid into your cash account to be held on platform for withdrawals or future investment.

☐ **Retain in the fund**
Income generated from this investment will be retained in the fund.

6 Investment Selection

I wish to invest in the funds indicated (for further details of the funds available, please refer to the Fund Key Features.) Please ensure the funds are available through Cofunds. Please note: your total ISA subscription for each tax year must not exceed your ISA allowance. For details, please refer to the Key Features of the Cofunds Investment ISA.

Your investment will be made in the Retail Share Class.

If you are investing in both tax years, you must include two separate cheques. For 2011/2012 tax year, your cheque must be dated 6 April 2011 or later.

I wish to subscribe to an Investment ISA (stocks and shares) for the year 2010/2011 Amount: £ and/or 2011/2012 Amount: £

6 Investment Selection continued

Invest in one of the following portfolios

		Lump Sum	Monthly amount per fund	Commission **WAIVE** All (✓)
Either	High Income – Income units	£	£	✓
Or	Income & Growth – Income units	£	£	✓
Or	Growth – Accumulation units	£	£	✓
Or	Adventurous Growth – Accumulation units	£	£	✓

Or create your own portfolio

Fund Manager and Fund Name	Type of Unit/Share	Lump Sum	Monthly amount per fund	Commission **WAIVE** All (✓)
	ACC/INC	£	£	✓
	ACC/INC	£	£	✓
	ACC/INC	£	£	✓
Cash Reserve (if required)†			£	

† Monies may be held for short periods in the Cash Reserve. Such holdings are deemed 'destined for investment'.
*If you do not specify ACC or INC in this column, and have not completed Section 4 of the Investment ISA (stocks and shares) Transfer Application, Cofunds will invest into accumulation units/shares where applicable.

7 Declaration and Authorisation

I declare that:

- All investment subscriptions made now and in the future belong to me.
- I am aged 18 years or over.
- I have not subscribed and will not subscribe to more than my overall subscription allowance in total to a cash ISA and a stocks and shares ISA in the same tax year.
- I have not subscribed and will not subscribe to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA.
- I am resident and ordinarily resident in the United Kingdom for tax purposes, or,if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to or in a civil partnership with a person who performs such duties. I will inform Cofunds Limited if I cease to be so resident and ordinarily resident or to perform such duties, or be married to or in a civil partnership with a person who performs such duties.

I authorise Cofunds Limited to:

- Hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- Make on my behalf any claims to relief from tax in respect of my ISA investments.

I confirm that:

- I agree to be bound by:
  – Terms and Conditions of the Cofunds Platform, including the Cofunds Investment ISA Terms and Conditions
- My signed application form (provided that my application is accepted by Cofunds), together with the Terms and Conditions of the Cofunds Platform, constitute my customer agreement with Cofunds Limited. I understand that the commencement of my ISA may be delayed or rejected if this application form is not complete in all respects. You may undertake a search with a reference agency for the purposes of verifying my identity. To do so, the reference agency may check the details I supply against any particulars on any database (public or otherwise) to which they have access. They may also use my details in the future to assist other companies for verification purposes. A record of the search will be retained as an identity search. I declare that the information contained in this application form is correct to the best of my knowledge and belief.

Signature X Date

Data Protection

Cofunds Limited will use your information for the administration and servicing of your investments and other related activities. We may disclose your information to our agents and service providers for these purposes. We may also disclose your information to organisations for compliance with legal and regulatory requirements.
With the exception of the preceding provisions, we will not pass on your information to any other third party without your permission, but we will disclose information concerning your investment to your Nominated Adviser.
Cofunds may transfer your information to countries outside of the EEA for the servicing of your investments. In such cases, contracts will be put in place to ensure that the service providers protect your information in accordance with the requirements of the Data Protection Act.

If you wish to attend/vote at unit holder or shareholder meetings, please tick this box. ☐

If you wish to receive reports and accounts, you can download them free of charge at https://investors.cofunds.co.uk/Investors/Reports_and_Accounts_Investors.aspx.

If you wish to receive paper copies of reports and accounts please speak to your adviser.

You can also request to receive paper copies of reports and accounts by writing to us at Cofunds Limited, PO Box 1103, Chelmsford CM99 2XY.

We will apply a £20 administration charge per fund for each request to attend/vote at unit holder and shareholder meetings, and for each request to receive paper copies.

Email address and telephone number

If you provide your email address and telephone number on this form, Chartwell Direct will keep a record of it. Chartwell Direct may use it to contact you occasionally about products and services, which may be of interest to you. However, if you prefer not to receive such information, you may withdraw your consent by contacting Chartwell Direct client services department on 01225 823915.
If you require a fund prospectus, please contact your Intermediary or Fund Manager directly.

Issued and approved by Cofunds Limited, 1st Floor, 1 Minster Court, Mincing Lane, London EC3R 7AA.
Registered in England and Wales No. 3965289. Authorised and regulated by the Financial Services Authority (FSA) under FSA Registration No. 194734.

CO 289/CWD001 02/11

Investment by Direct Debit

cofunds

Instruction to your Bank or Building Society to pay Direct Debits

DIRECT Debit

Please fill in the whole form and send it to:

Chartwell Direct, Kings Orchard, 1 Queen Street, Bristol BS2 0HQ

Name and full postal address of your Bank or Building Society

To the Manager Bank or Building Society

Address

Postcode

Instruction to your Bank or Building Society

Please pay Cofunds Limited Direct Debits from the account detailed on this instruction subject to the safeguards assured by The Direct Debit Guarantee. I understand that this instruction may remain with Cofunds Limited and, if so, will be passed on electronically to my Bank/Building Society. Banks and Building Societies may not accept Direct Debit instructions for some types of account.
Issued and approved by Cofunds Limited,
1st Floor, 1 Minster Court, Mincing Lane, London EC3R 7AA.
Registered in England and Wales No. 3965289.
Authorised and regulated by the Financial Services Authority (FSA) under FSA Registration No. 194734.

Name(s) of Account Holder(s)

Branch Sort Code

– –

Bank/Building Society Account Number

Reference Number (office use only)

Originator's Identification No. (office use only)

6 0 0 2 6 7

Signature **Date**